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PTO/SB/05 REV 1 (12/97)

Approved for use through 09/30/2000, omb 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>		Attorney Docket No.	SJO9199900037US2
		First Inventor or Application Identifier	Westwood
		Title	METHOD OF MAKING NiFeCo-O-N OR NiFeCo-N FILMS FOR SHIELDS AND/OR POLES OF A MAGNETIC HEAD
		Express Mail Label No.	EL 749517911 US

<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	ADDRESS TO:	Mail Stop: Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450
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1.  Fee Transmittal Form

*(Submit an original, and a duplicate for fee processing)*

2.  Specification [Total Pages 32]

*(preferred arrangement set forth below)*

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention

- Brief Description of the Drawings *(if filed)*

- Detailed Description

- Claim(s)

- Abstract of the Disclosure

3.  Drawing(s) (35 USC 113) [Total Sheets 18 ]

4.  Oath or Declaration [Total Pages 2 ]

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*

*[Note Box 5 below]*

i.  **DELETION OF INVENTOR(S)**

Signed statement attached in the prior application, see  
37 CFR 1.63(d)(2) and 1.33(b).

5.  Incorporation by Reference *(useable if Box 4b is checked)*

The entire disclosure of the prior application, from which a copy  
of the oath or declaration is supplied under Box 4b, is considered  
as being part of the disclosure of the accompanying application  
and is hereby incorporated by reference therein.

6.  Microfiche Computer Program (Appendix)

7.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*

- a.  Computer Readable Copy
- b.  Paper Copy *(identical to computer copy)*
- c.  Statement Verifying Identity

22387 U.S. PTO  
10/789068



#### ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers (cover sheet & document(s))

9.  37 CFR 3.73(b) Statement  
*(when there is an assignee)*  Power of Attorney

10.  English Translation Document *(if applicable)*

11.  Information Disclosure Statements  Copies of  
*(IDS) w/PTO-1449 & PTO-892*  IDS Citations

12.  Preliminary Amendment

13.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

14.  Small Entity  Statement filed in prior application,  
Statement(s)  Status still proper and desired

15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16.  Other:  Express Mail Certification

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 09/753,433  
Prior application information: Examiner Rodney G. McDonald Group/Art Unit 1753

#### 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  Correspondence address below  
*(Insert Customer No. or Attach bar code label here)*

ADDRESS	International Business Machines Corporation IP Law L2PA/010 5600 Cottle Road San Jose, California 95193		
	Telephone: 808-661-1197	(Ervin F. Johnston)	FAX: 808-661-9797
COUNTRY	USA		

Name (Print/Type)	Ervin F. Johnston	Registration No. (Attorney/Agent)	20,190
Signature			Date
			February 26 2004

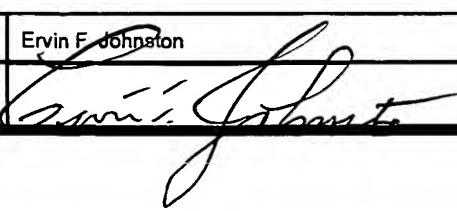
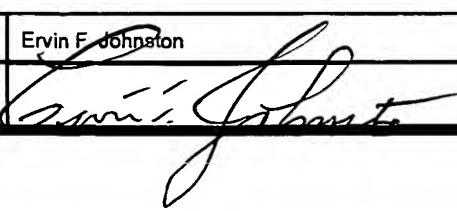
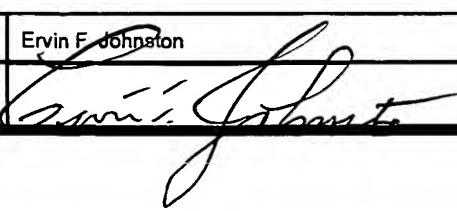
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<b>FEE TRANSMITTAL</b> <small>Patent fees are subject to annual revision on October 1. These are the fees effective November 10, 1998. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12 See 37 C.F.R. §§ 1.27 and 1.28</small>		<i>Complete If Known</i>																																																																																																																									
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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:  Deposit Account Number <u>09-0466</u> Deposit Account Name <u>International Business Machines Corp.</u>		<b>3. ADDITIONAL FEES</b> <table> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>Surcharge - late provisional filing or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>Request for reexamination</td><td></td></tr> <tr><td>112</td><td>920</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>Extension for response within first month</td><td></td></tr> <tr><td>116</td><td>420</td><td>Extension for response within second month</td><td></td></tr> <tr><td>117</td><td>950</td><td>Extension for response within third month</td><td></td></tr> <tr><td>118</td><td>1,480</td><td>Extension for response within fourth month</td><td></td></tr> <tr><td>128</td><td>2,010</td><td>Extension for response within fifth month</td><td></td></tr> <tr><td>119</td><td>330</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>330</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>290</td><td>Request for oral hearing</td><td></td></tr> <tr><td>140</td><td>110</td><td>Petition to revive unavoidably abandoned application</td><td></td></tr> <tr><td>141</td><td>1,330</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr> <tr><td>142</td><td>1,330</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>480</td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>640</td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>126</td><td>180</td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>146</td><td>770</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr><td>179</td><td>770</td><td>Request for continued examination (RCE) (37 CFR 1.114)</td><td></td></tr> <tr> <td colspan="2"></td> <td>Other fee (specify) Other fee (specify) Other fee (specify) Other fee (specify)</td> <td>Terminal Disclaimer Application for Patent Term Adjustment Certificate of Correction</td> </tr> <tr> <td colspan="2"></td> <td colspan="2"><b>SUBTOTAL (3) (\$ )</b></td> </tr> <tr> <td colspan="2"></td> <td colspan="2"><i>*Reduced by Basic Filing Fee Paid</i></td> </tr> <tr> <td colspan="2"><b>SUBMITTED BY</b></td> <td colspan="2"><b>COMPLETE (if applicable)</b></td> </tr> <tr> <td>Typed or Printed Name</td> <td>Ervin F. Johnston</td> <td>Reg. Number</td> <td>20,190</td> </tr> <tr> <td>Signature</td> <td></td> <td>Date</td> <td>February 26, 2004</td> </tr> </tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130	Surcharge - late filing fee or oath		127	50	Surcharge - late provisional filing or cover sheet		139	130	Non-English specification		147	2,520	Request for reexamination		112	920	Requesting publication of SIR prior to Examiner action		113	1,840*	Requesting publication of SIR after Examiner action		115	110	Extension for response within first month		116	420	Extension for response within second month		117	950	Extension for response within third month		118	1,480	Extension for response within fourth month		128	2,010	Extension for response within fifth month		119	330	Notice of Appeal		120	330	Filing a brief in support of an appeal		121	290	Request for oral hearing		140	110	Petition to revive unavoidably abandoned application		141	1,330	Petition to revive unintentionally abandoned application		142	1,330	Utility issue fee (or reissue)		143	480	Design issue fee		144	640	Plant issue fee		122	130	Petitions to the Commissioner		126	180	Submission of Information Disclosure Stmt		146	770	Filing a submission after final rejection (37 CFR 1.129(a))		179	770	Request for continued examination (RCE) (37 CFR 1.114)				Other fee (specify) Other fee (specify) Other fee (specify) Other fee (specify)	Terminal Disclaimer Application for Patent Term Adjustment Certificate of Correction			<b>SUBTOTAL (3) (\$ )</b>				<i>*Reduced by Basic Filing Fee Paid</i>		<b>SUBMITTED BY</b>		<b>COMPLETE (if applicable)</b>		Typed or Printed Name	Ervin F. Johnston	Reg. Number	20,190	Signature		Date	February 26, 2004
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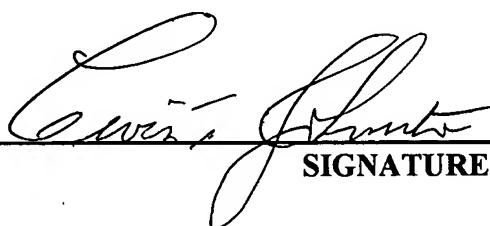
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**NAME**

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A handwritten signature in black ink, appearing to read "Ervin F. Johnston". It is written in a cursive style with a large, flowing "E" at the beginning.